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CoRSeq_{V3-C}: a novel HIV-1 subtype C specific V3 sequence based coreceptor usage prediction algorithm

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Abstract

Background: The majority of HIV-1 subjects worldwide are infected with HIV-1 subtype C (C-HIV). Although C-HIV predominates in developing regions of the world such as Southern Africa and Central Asia, C-HIV is also spreading rapidly in countries with more developed economies and health care systems, whose populations are more likely to have access to wider treatment options, including the CCR5 antagonist maraviroc (MVC). The ability to reliably determine C-HIV coreceptor usage is therefore becoming increasingly more important. *In silico* V3 sequence based coreceptor usage prediction algorithms are a relatively rapid and cost effective method for determining HIV-1 coreceptor specificity. In this study, we elucidated the V3 sequence determinants of C-HIV coreceptor usage, and used this knowledge to develop and validate a novel, user friendly, and highly sensitive C-HIV specific coreceptor usage prediction algorithm.

Results: We characterized every phenotypically-verified C-HIV gp120 V3 sequence available in the Los Alamos HIV Database. Sequence analyses revealed that compared to R5 C-HIV V3 sequences, CXCR4-using C-HIV V3 sequences have significantly greater amino acid variability, increased net charge, increased amino acid length, increased frequency of insertions and substitutions within the GPGQ crown motif, and reduced frequency of glycosylation sites. Based on these findings, we developed a novel C-HIV specific coreceptor usage prediction algorithm (CoRSeq_{V3-C}), which we show has superior sensitivity for determining CXCR4 usage by C-HIV strains compared to all other available algorithms and prediction rules, including Geno2pheno_[coreceptor] and WebPSSM_{SINIS[†]-C}, which has been designed specifically for C-HIV.

Conclusions: CoRSeq_{V3-C} is now openly available for public use at www.burnet.edu.au/coreceptor. Our results show that CoRSeq_{V3-C} is the most sensitive V3 sequence based algorithm presently available for predicting CXCR4 usage of C-HIV strains, without compromising specificity. CoRSeq_{V3-C} may be potentially useful for assisting clinicians to decide the best treatment options for patients with C-HIV infection, and will be helpful for basic studies of C-HIV pathogenesis.

Keywords: HIV-1, subtype C, gp120, V3, CCR5, CXCR4, Coreceptor, Prediction algorithm

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Background

Human immunodeficiency virus type 1 (HIV-1) gains entry into immune cells by binding to CD4 and one of two coreceptors, CCR5 or CXCR4 [1-4]. HIV-1 phenotypes are defined by the ability of HIV-1 to use CCR5 (R5), CXCR4 (X4) or both coreceptors (R5X4) for entry [5]. Transmission and establishment of HIV-1 infections is typically associated with R5 viruses. However, in 40-50% of individuals infected with subtype B HIV-1 (B-HIV), R5X4 and/or X4 viruses (collectively referred to hereafter as CXCR4-using viruses) emerge during disease progression [6,7]. The emergence of CXCR4-using viruses is associated with rapid CD4+ T-cell decline and accelerated onset of AIDS [8]. In contrast, individuals infected with subtype C HIV-1 (C-HIV) frequently harbor R5 viruses throughout all stages of disease [9] (reviewed in [10,11]). However, recent studies are reporting increased incidence of CXCR4-using C-HIV strains emerging at late stages of infection (up to 52%) [12-15], which may be associated with exposure to antiretroviral therapies (ART). Importantly, C-HIV infections constitute the majority of HIV-1 infections worldwide and are responsible for more than 95% of HIV-1 infections in Southern Africa, Central Asia and parts of South-East Asia, which are regions of the world where the HIV-1 pandemic is at its worst [11]. Furthermore, C-HIV is now spreading rapidly in more developed nations such as Brazil and neighboring countries [16].

The CCR5 antagonist maraviroc (MVC) is presently used as an antiretroviral (ARV) for treatment of HIV-1 infected subjects who have no detectable CXCR4-using virus in their plasma [17-19]. Thus, the ability to phenotypically characterize coreceptor usage of circulating HIV-1 strains has become clinically important. Currently, the gold standard for determining viral coreceptor usage is a cell entry assay with infectious viruses pseudotyped with patient-derived envelopes [20]. However, this method is expensive, labor intensive and time consuming and therefore, impractical in resource constrained regions where C-HIV predominates. Consequently, sequence based *in silico* predictive algorithms capable of predicting viral coreceptor usage have been developed, principally for B-HIV variants, offering a rapid, simplistic and inexpensive alternative to cell based entry assays.

Studies have shown that the major determinants of HIV-1 CCR5 and/or CXCR4 usage lie within the third variable loop (V3) of the viral surface envelope glycoprotein, gp120 [21-25]. Current coreceptor usage prediction algorithms exploit these V3 sequence characteristics in order to predict coreceptor specificity. Studies assessing the accuracy of currently available coreceptor usage prediction algorithms have shown limited success in regards to correctly predicting C-HIV coreceptor usage [26-31]. These algorithms will need significant improvements in order for MVC

and other future CCR5 antagonists to become accessible for the vast majority of subjects with C-HIV infection. In this study, we aimed to develop and validate a novel, user friendly and highly specific C-HIV specific coreceptor usage prediction algorithm.

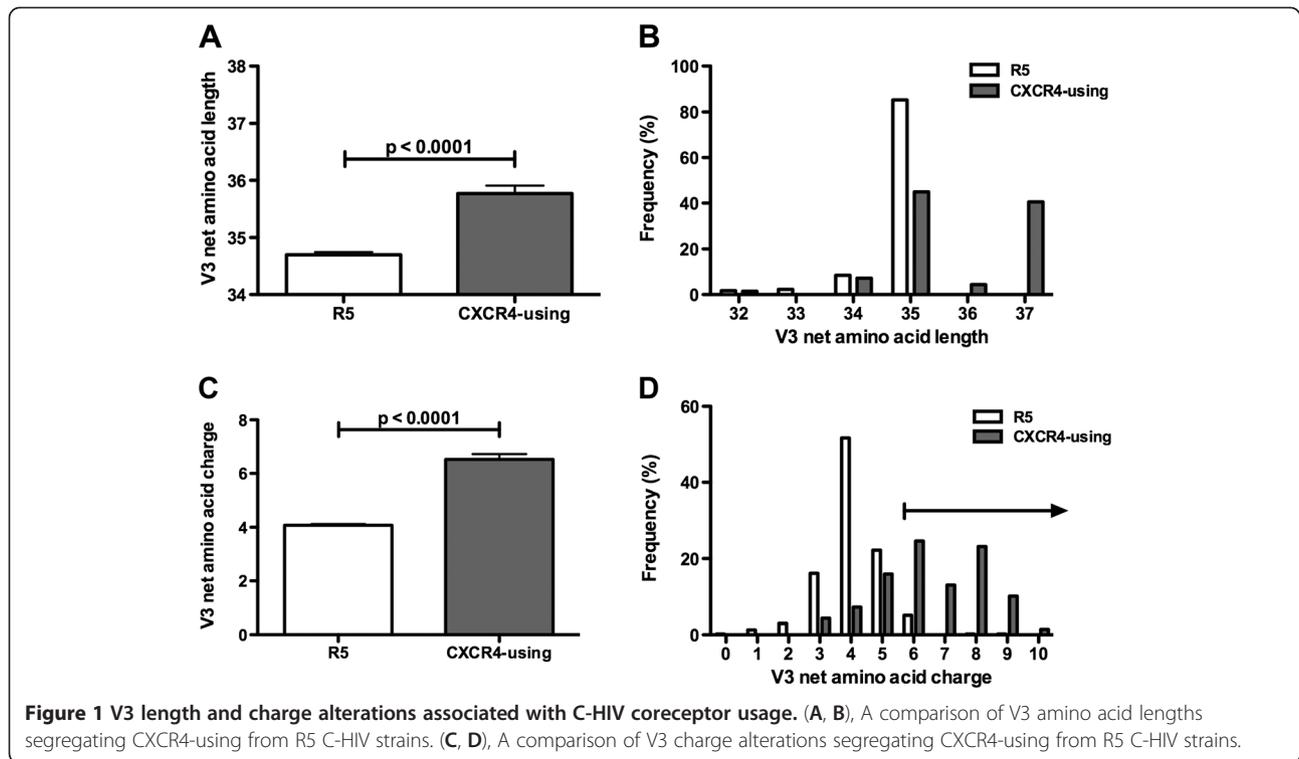
Results

C-HIV V3 sequence characteristics associated with coreceptor usage

In this study we analyzed all the phenotypically characterized patient-derived C-HIV V3 sequences currently available in the Los Alamos HIV Database in order to identify potential V3 sequence characteristics capable of differentiating CXCR4-using from R5 HIV-C Envs. We selected one representative CXCR4-using and/or R5 V3 sequence per subject in order to avoid biasing the results by the resampling of highly related sequences. In total, we assembled 69 unique CXCR4-using and 473 unique R5 C-HIV V3 sequences.

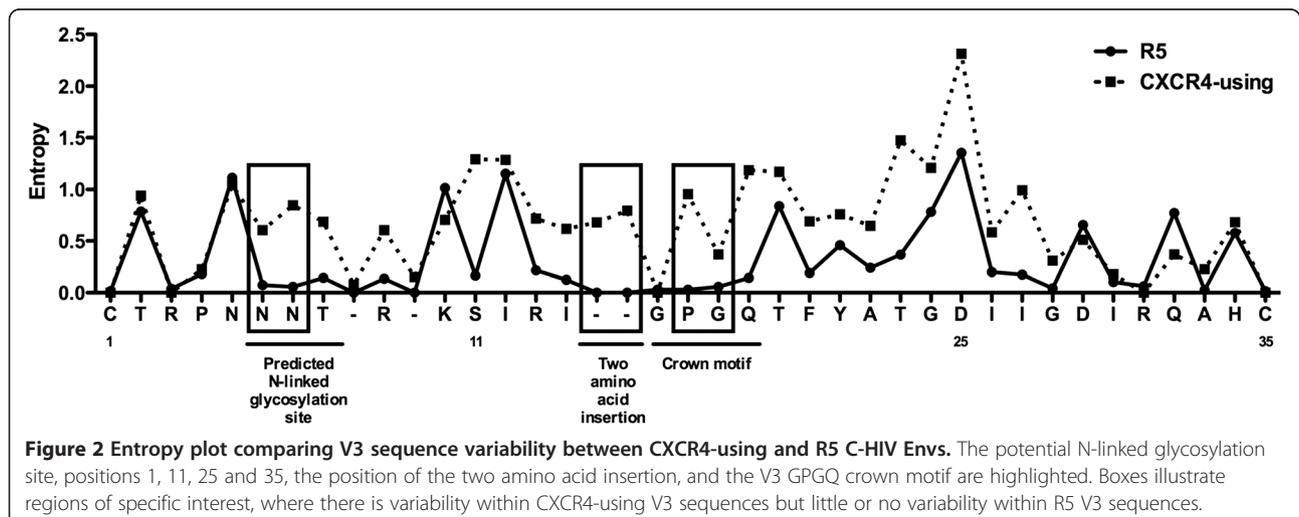
CXCR4-using C-HIV V3 sequences were found to be significantly longer (range 32–37 amino acids) than the R5 C-HIV V3 sequences (range 32–35 amino acids; $p < 0.0001$ by a Mann Whitney U test) (Figure 1A). Also, the net charge of CXCR4-using V3 sequences (range 3–10) was significantly higher than that of R5 V3 sequences (range 0–6; $p < 0.0001$ by a Mann Whitney U test) (Figure 1C). Interestingly, the majority of CXCR4-using V3 sequences had a net charge of ≥ 6 (Figure 1D). The largely non-overlapping pattern of CXCR4-using and R5 V3 sequences suggests that a charge cutoff of ≥ 6 could be used to predict CXCR4-usage. Indeed, the V3 net charge of CXCR4-using C-HIV V3 sequences was significantly greater than that of the R5 C-HIV V3 sequences when a cutoff of ≥ 6 was considered ($p < 0.0001$ by a Mann Whitney U test). In contrast, the significant overlap pattern between CXCR4-using and R5 V3 sequences with a net amino acid length of 35 (Figure 1B) suggests that V3 length may not be a characteristic sensitive enough to predict coreceptor usage of most C-HIV strains. However, a cutoff length of ≥ 36 amino acids could be used to predict some CXCR4-using C-HIV V3 sequences. Unlike previous studies, we found no association between coreceptor usage and V3 sequence hydrophobicity using the Kyte Doolittle hydrophobicity scale (data not shown) [14,29,32-35].

We next produced an entropy plot to more precisely determine patterns of V3 variability between R5 and CXCR4-using C-HIV V3 sequences (Figure 2). Higher entropy values indicate greater variability at specific sites within V3. The CXCR4-using V3 sequences displayed greater overall variability than the R5 V3 sequences. Notably, the residues within the predicted N-glycosylation site (PNGS) at amino acid positions 6–8 were more conserved among R5 V3 sequences than CXCR4-using V3 sequences (Figure 2). Consistent with this observation, R5



V3 sequences had significantly more PNGS than CXCR4-using V3 sequences ($p < 0.0001$ by a Mann Whitney U test); 20.3% of CXCR4-using V3 sequences lacked a PNGS at this motif, compared to only 2.5% of R5 V3 sequences ($p = 0.0002$ by Fisher's exact test). Residues 15, 16 and 17, located within the V3 crown motif, also showed greater variability in CXCR4-using V3 sequences compared with R5 V3 sequences (Figure 2). In fact, an alteration of at least one substitution within the V3 crown motif was significantly more frequent in CXCR4-using C-HIV V3

sequences (71%) than in R5 C-HIV V3 sequences (3.2%) ($p < 0.0001$ by Fisher's exact test). Furthermore, a two amino acid insertion immediately upstream of the V3 crown (Figure 2) was frequently present in CXCR4-using V3 sequences (42%), yet was never observed in R5 V3 sequences ($p < 0.0001$ by Fisher's exact test). Using mutagenesis studies, we recently showed that either a V3 crown substitution or a proximal two amino acid insertion were important for CXCR4-usage of C-HIV, individually conferring R5X4 phenotypes, and



conferring an X4 phenotype when they were present together (M.R. Jakobsen, K. Cashin and P.R. Gorry, unpublished data). Notably, position 25 showed extensive variability in both R5 and CXCR4-using C-HIV V3 sequences (Figure 2).

Next we determined the type and frequency of amino acids at individual V3 positions, and compared the amino acid frequencies of CXCR4-using and R5 V3 sequences. Table 1 displays the amino acid alterations within V3 that either; (i) only occur in CXCR4-using or R5 V3 sequences, or (ii) exhibit variability that is significantly different between CXCR4-using and R5 V3 sequences, and thus may potentially be able to help discriminate CXCR4-using from R5 C-HIV viruses. We next assessed all of the amino acid alterations shown in Table 1 for their ability to increase the sensitivity of detecting CXCR4-using C-HIV viruses when tested in novel prototype coreceptor usage prediction algorithms. These analyses showed that the presence of Glu10, Ile11, Asn11, a gap at position 23, Thr25, a gap at position 25, or Glu32 or Phe34 (Table 1) could all individually contribute to increasing the sensitivity and/or specificity of detecting CXCR4-using C-HIV variants (Additional file 1). Interestingly, factoring in the presence or absence of a

PNGS at amino acid positions 6–8 did not improve sensitivity or specificity (data not shown), despite being a significant parameter for distinguishing R5 and CXCR4-using sequences.

Other Env sequence characteristics may also distinguish CXCR4-using C-HIV strains from R5 C-HIV strains

We also performed a similar analysis of gp41 and all regions of gp120 outside of V3. Sequence characteristics that were significantly different between R5 and CXCR4-using C-HIV Envs included net amino acid charge in the V1 region ($p=0.0306$ by a Mann Whitney U test), V1 length ($p=0.0415$ by a Mann Whitney U Test), the number of PNGS within V1 ($p=0.0363$ by a Mann Whitney U Test), and length of the V4 region ($p<0.0001$ by a Mann Whitney U Test). These findings suggest that regions outside of the V3 loop may also be involved in determining coreceptor usage of C-HIV strains, and therefore could be used to predict coreceptor specificity. However, the limiting number of phenotypically characterised CXCR4-using C-HIV sequences containing regions other than V3 ($n<40$) may limit the significance of these findings, and also the practicality of including these characteristics in new C-HIV coreceptor usage prediction algorithms.

Table 1 V3 amino acid alterations associated with C-HIV coreceptor usage

Mutation ^a	Phenotype		Fisher's exact test (p value)	Mutation	Phenotype		Fisher's exact test (p value)
	CCR5 usage	CXCR4 usage			CCR5 usage	CXCR4 usage	
Asn6Tyr	0	4.3	ns	Gln18Arg	1.1	20.3	<0.0001
Asn7Lys	0	4.3	ns	Gln18His	0.4	13	0.0002
Thr8Ile	0.2	7.2	0.014	Gln18Lys	0	2.9	ns
Arg9Ile	0	5.8	0.0289	Phe20Val	0	7.2	0.0068
Lys10Glu	4.4	0	ns	Phe20Trp	0	2.9	ns
Ser11Arg	0.2	15.9	<0.0001	Thr23-	2.3	0	ns
Ser11Asn	0	4.3	ns	Thr23Arg	0	5.8	0.0289
Ser11His	0	2.9	ns	Gly24Lys	1.3	13	0.0013
Ser11Ile	0	2.9	ns	Asp25Arg	0.2	10.1	0.0015
Ser11Lys	0	1.4	ns	Asp25Lys	0.6	17.4	<0.0001
Ile12Lys	0	2.9	ns	Asp25Thr	0	7.2	0.0068
Arg13Asn	0	2.9	ns	Asp25His	0	1.4	ns
Arg13-	0.4	8.7	0.0067	Asp25-	2.5	4.3	ns
Ile14Leu	0.6	10.1	0.0015	Ile27Arg	0	7.2	0.0068
Ile14Thr	0	4.3	ns	Ile27Asn	0	2.9	ns
13-14 insertion	0	40.4	<0.0001	Gly28Lys	0	2.9	ns
Pro16Arg	0	37.7	<0.0001	Ile30Val	0	4.3	ns
Pro16Gln	0	4.3	ns	Gln32Glu	8	0	0.0068
Gly17Arg	0.4	8.7	0.0067	His34Phe	0	7.2	0.0068

^a Amino acid numbering is based on the consensus C-HIV V3 sequence.

Values are percentages of R5 or CXCR4-using C-HIV V3 sequences.

For these analyses, 69 unique CXCR4-using and 473 unique R5 C-HIV V3 sequences were included. ns, not significant; -, deleted sequence.

Development of a novel C-HIV specific coreceptor usage prediction algorithm

Next we developed a C-HIV specific V3 sequence based coreceptor usage prediction algorithm, founded principally on the V3 characteristics that we showed in the preceding studies could help distinguish CXCR4-using from R5 C-HIV viruses (CoRSeq_{V3-C}). When a query V3 sequence is submitted to CoRSeq_{V3-C}, which is now hosted at www.burnet.edu.au/coreceptor and presently available for use as a research tool, the sequence is aligned against the HXB2 V3 sequence, and a series of questions are addressed (Figure 3). For the purpose of sequence orientation and amino acid number assignment, HXB2 is the most practical reference sequence because, unlike the C-HIV consensus sequence, it contains the same number of amino acids as many CXCR4-using C-HIV Envs. First, the query sequence is assessed for the presence of amino acid alterations that occur exclusively in R5 C-HIV V3

sequences (Table 1), namely Glu10, Glu23 or a gap at position 23. If one or more of these amino acid alterations are present, the query sequence is determined to be that of a R5 C-HIV Env. If not, the query sequence is assessed for the presence of a number of signature CXCR4-using C-HIV V3 sequence characteristics and amino acid alterations that either (i) occur exclusively in CXCR4-using C-HIV V3 sequences, (ii) occur significantly more frequently in CXCR4-using C-HIV V3 sequences than in R5 C-HIV V3 sequences (Table 1), or (iii) improve the sensitivity and/or specificity of the algorithm for detecting CXCR4-using C-HIV variants (Additional file 1). Specifically, these CXCR4-using C-HIV signature alterations include an amino acid substitution within the GPGQ V3 crown motif and/or an immediately proximal two amino acid insertion, a net V3 amino acid charge of ≥ 6 , and the presence of Lys11, Arg11, His11, Ile11, Asn11, Arg25, His25, Lys25, Thr25, a gap at position 25 or Phe34. If any

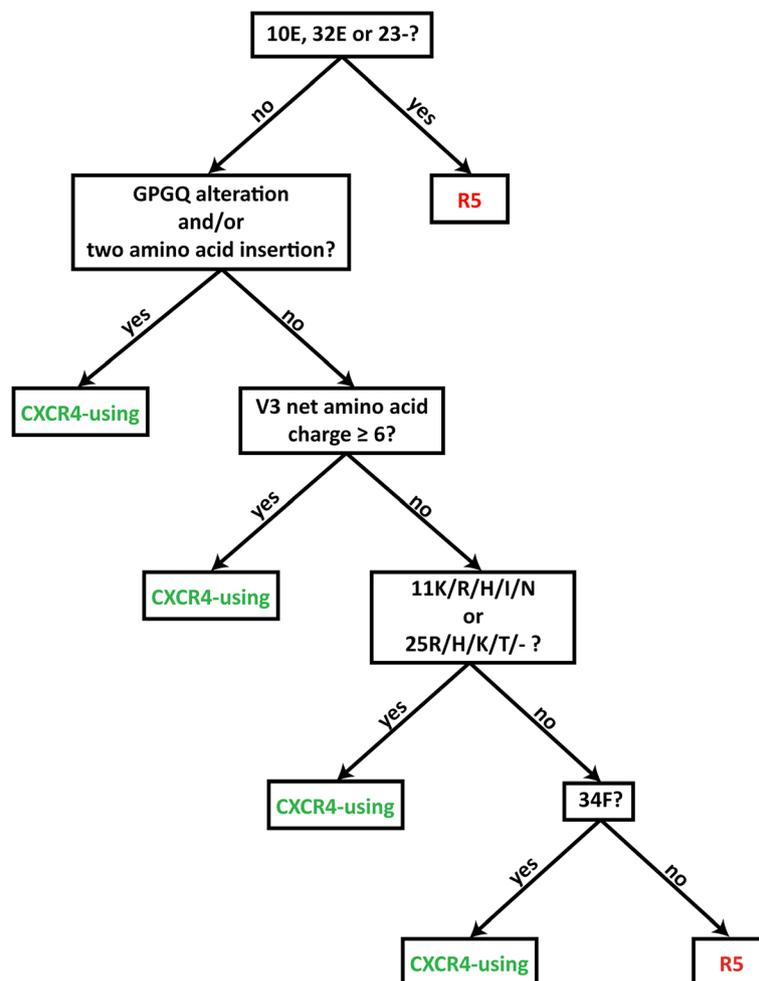


Figure 3 A diagrammatic representation of the CoRSeq_{V3-C} algorithm. Query V3 sequences are aligned to the HXB2 V3 sequence and subjected to a sequential series of questions, which are ordered from top to bottom as indicated by arrows, and as described in detail in the Results section.

of these traits are present the query sequence is determined to be that of a CXCR4-using C-HIV Env.

Performance of CoRSeq_{V3-C} compared to other V3-based coreceptor usage prediction algorithms and tools

We next assessed the accuracy of CoRSeq_{V3-C} for predicting C-HIV coreceptor usage compared to several other prediction rules and algorithms (Table 2). We used two C-HIV V3 sequence data sets. Data set 1 was restricted to one representative V3 sequence per subject (69 CXCR4-using and 473 R5 Envs). Because CoRSeq_{V3-C} was developed using the V3 sequences of data set 1, we would anticipate a high sensitivity and specificity for our algorithm. Thus, we included a second data set in the study (data set 2), which consisted of every phenotypically characterized C-HIV V3 sequence currently available, allowing multiple sequences per patient (143 CXCR4-using and 1213 R5 C-HIV Envs). The other coreceptor usage prediction algorithms assessed were the Geno2pheno_[coreceptor] algorithm [36], the C-HIV specific WebPSSM algorithm (WebPSSM_{SINSI-C}), two different B-HIV specific WebPSSM algorithms (WebPSSM_{X4R5-B} and WebPSSM_{SINSI-B}) [24,37], the 11/25 rule [25,38], the 11/24/25 rule [22,25,39,40], the 11/25/V3 charge rule [27], and the Lin et al., rule [41]. These rules and algorithms are described in the methods.

We found the 11/25 rule to be the least sensitive at correctly predicting CXCR4 usage in both data sets, with sensitivities of 46.38% and 47.87% for data sets 1 and 2, respectively (Table 2). Notably, the 11/24/25 rule showed comparatively improved sensitivity, with 56.52% and 52.12% for data sets 1 and 2, respectively. The 11/25/V3 charge rule showed further improvement, with 79.71% and 77.47% sensitivity for data sets 1 and 2, respectively. The Lin et al. rule had 84.06% and 79.58% sensitivity for data sets 1 and 2, respectively.

Among the more sophisticated V3 algorithms, Geno2pheno_[coreceptor] and WebPSSM_{SINSI-C} both had sensitivities of 88.41% for data set 1, and sensitivities of 87.32% and 88.02% for data set 2, respectively. Geno2pheno_[coreceptor] was 94.71% specific for data set 1 and 99.96% specific for data set 2. WebPSSM_{SINSI-C} was 90.7% specific for data set 1 and 99.86% specific for data set 2. The B-HIV specific WebPSSM_{X4R5-B} and WebPSSM_{SINSI-B} algorithms were comparatively inaccurate for C-HIV sequences, showing 78.26% and 59.42% sensitivities for data set 1, and 69.72% and 57.04% sensitivities for data set 2, respectively. In comparison, CoRSeq_{V3-C} was 94.2% sensitive and 91.12% specific for data set 1, and 90.85% sensitive and 99.98% specific for data set 2. Interestingly, the same CXCR4-using V3 sequences from data set 1 (n=4) and data set 2 (n=5) were incorrectly scored as R5 by all of the algorithms and rules investigated in this study, further highlighting that regions outside the V3 loop can confer CXCR4 usage, albeit in a minority of sequences. Together, our results show that CoRSeq_{V3-C} has superior sensitivity for detection of C-HIV CXCR4 usage compared to the best performing alternative algorithms, with comparable specificity.

Discussion

In this study we conducted an extensive and comprehensive analysis of CXCR4-using and R5 C-HIV Envs in order to develop a novel C-HIV specific coreceptor usage prediction algorithm that is highly sensitive at predicting C-HIV CXCR4-usage. To do this we assembled every phenotypically characterized C-HIV Env V3 sequence available on the Los Alamos HIV Database and elucidated the specific sequence characteristics of V3 that differentiate R5 from CXCR4-using Envs. We found that CXCR4-using C-HIV V3 sequences have a

Table 2 Comparison of sensitivities and specificities of alternative algorithms and tools for predicting C-HIV coreceptor usage

Coreceptor specificity prediction technique	One C-HIV V3 sequence per subject		All C-HIV V3 sequences	
	(Data set 1; 69 CXCR4-using and 473 R5)		(Data set 2; 143 CXCR4-using and 1213 R5)	
	Sensitivity (%)	Specificity (%)	Sensitivity (%)	Specificity (%)
CoRSeq _{V3-C}	94.20	91.12	90.85	99.98
Geno2pheno _[coreceptor]	88.41	94.71	87.32	99.96
WebPSSM _{SINSI-C}	88.41	90.7	88.02	99.86
WebPSSM _{X4R5-B}	78.26	95.76	69.72	99.94
WebPSSM _{SINSI-B}	59.42	99.36	57.04	99.98
11/25	46.38	98.94	47.87	99.99
11/24/25	56.52	97.25	52.12	99.99
11/25/V3 charge	79.71	88.16	77.47	99.96
Lin et al. rule	84.06	96.83	79.58	99.96

significantly greater net charge and length compared to R5 Envs, consistent with the results of previous studies [22,23,29,41,42]. Crystal structure analysis and mutagenesis studies have shown that the V3 loop interacts directly with the coreceptor N-terminus and ECL2 region [43-45]. The surface of CXCR4 is more negatively charged than CCR5. Thus, Envs with a more positively charged V3 may have an enhanced interaction with CXCR4. We also found that CXCR4-using Envs are significantly less likely to have a PNGS within V3 than R5 Envs. Previous studies have suggested that the V3 N-terminal glycan enhances the interaction of gp120 with CCR5 [46-48]. Conversely, loss of this glycan has been shown to enhance viral CXCR4 usage [47,49].

Analysis of the amino acid type and frequency within V3, comparing R5 and CXCR4-using C-HIV Envs, revealed that CXCR4-using Envs have greater V3 variability than R5 Envs, consistent with previous studies [23,42]. Interestingly, the majority of CXCR4-using C-HIV Envs had either an amino acid substitution within the V3 GPGQ crown motif and/or a two amino acid substitution immediately proximal to the crown motif. The association between CXCR4-usage and a V3 crown motif alteration has been discussed in previous studies [27,29,41,42]. The V3 crown forms a beta turn secondary structure at the tip of the V3 loop. Recently, we constructed three-dimensional homology models of a primary X4 C-HIV gp120 with a GRGQ V3 crown motif and/or an Ile314-Gly315 insertion (M.R. Jakobsen, K. Cashin and P.R. Gorry, unpublished data), and showed that these alterations may potentially cause significant conformational changes in V3, which may enhance V3 flexibility and thus the interaction with CXCR4. V3 crown alterations and two amino acid insertions immediately proximal to the V3 crown occur more frequently in C-HIV CXCR4-using Envs than in any other HIV-1 subtype. However, analysis of 151 phenotypically characterized CXCR4-using and 225 R5 B-HIV V3 sequences obtained from the Los Alamos HIV database (one sequence per subject) revealed that a greater proportion of CXCR4-using B-HIV Envs have a two amino acid insertion (10%) immediately proximal to the V3 crown than R5 B-HIV Envs (0%). Therefore, although this two amino acid insertion occurs significantly less frequently in B-HIV CXCR4-using Envs than C-HIV CXCR4-using Envs ($p < 0.0001$ by Fisher's exact test), this defining characteristic of CXCR4-usage may therefore not be unique to C-HIV Envs. The presence of a V3 crown alteration was not significantly different between R5 (28%) and CXCR4-using (30%) B-HIV Envs.

We identified sequence characteristics in regions outside of V3 that are associated with coreceptor specificity, specifically in the V1 and V4 loops. Previous studies have described associations between sequence alterations within the V1/V2 loops and C-HIV coreceptor usage

[32,50]. However, we recently showed that a V1 swap from a primary R5 C-HIV Env into an X4 C-HIV Env which developed in the same subject did not affect coreceptor usage, despite distinct sequence alterations in V1 segregating these two Envs (M.R. Jakobsen, K. Cashin and P.R. Gorry, unpublished data). Previous studies have suggested that extensive V1/V2 sequence mutations need to occur prior to the V3 mutations that are critical for CXCR4-usage of C-HIV [50,51]. Thus, the V1 swap experiment may have shown no effect on coreceptor usage because the V3 mutations necessary for CXCR4-usage were already present. Due to the limited number of phenotypically characterized full-length CXCR4-using C-HIV sequences, we did not conduct an amino acid type and frequency analysis outside the V3 region. However, Dimonte et al. [29] have conducted such a study, using C-HIV sequences predicted to use CXCR4 by $\text{Geno2pheno}_{[\text{coreceptor}]}$, $\text{WebPSSM}_{\text{SINSI-C}}$ and 11/25/V3 charge. Here, the investigators identified multiple amino acids within gp41 that could be used to differentiate R5 from CXCR4-using C-HIV Envs, suggesting their inclusion in a coreceptor specificity prediction algorithm could improve predictive accuracy. However, a study by Thielen et al. [52] suggests that the inclusion of gp41 mutations does not substantially improve predictive accuracy.

A study by Raymond et al. [27] found the 11/25/V3 charge rule to be more accurate than the 11/25 rule, $\text{Geno2pheno}_{[\text{coreceptor}]}$ and $\text{WebPSSM}_{\text{SINSI-C}}$ algorithms for predicting coreceptor specificity. While we found the 11/25/V3 charge rule to be more accurate than the 11/25 rule and the 11/24/25 rule, it was less accurate than $\text{Geno2pheno}_{[\text{coreceptor}]}$, $\text{WebPSSM}_{\text{SINSI-C}}$, $\text{CoRSeq}_{\text{V3-C}}$ and the HIV-B specific $\text{WebPSSM}_{\text{X4R5-B}}$ algorithms. A recent study, by Lin et al. [41], utilizing 209 sequences isolated from 16 C-HIV infected subjects, also identified the association between C-HIV CXCR4 usage and a V3 crown alteration and/or a two amino acid insertion proximal to the V3 crown. Exploiting these V3 characteristics, the investigators were able to correctly predict 100% of the X4 Envs, 76.9% of the R5X4 Envs and 100% of the R5 Envs studied [41]. In our analysis, we found the Lin et al. rule to be more accurate than the 11/25 rule, the 11/24/25 rule, the 11/25/V3 charge rule and the HIV-B specific WebPSSM algorithms at predicting HIV-C CXCR4 usage. However, the Lin et al. rule was less accurate than the $\text{Geno2pheno}_{[\text{coreceptor}]}$, $\text{WebPSSM}_{\text{SINSI-C}}$, or $\text{CoRSeq}_{\text{V3-C}}$ algorithms.

We developed $\text{CoRSeq}_{\text{V3-C}}$ by determining the combination of V3 characteristics and amino acids specific to R5 or CXCR4-using C-HIV Envs that most accurately predict the coreceptor usage of all the currently available phenotypically characterized C-HIV V3 sequences. Future performance evaluation with additional phenotypically

characterized and independent V3 sequences is required to more completely evaluate the sensitivity and specificity of CoRSeq_{V3-C}, which will be conducted as more C-HIV V3 sequences are deposited into the Los Alamos HIV database. We showed that CoRSeq_{V3-C} was maximally sensitive and specific for predicting CXCR4 usage when data was restricted to just one representative sequence per patient, which is a desirable quality. Importantly, as more C-HIV V3 sequences become available, CoRSeq_{V3-C} can be reviewed for the potential inclusion of other V3 characteristics and/or amino acids in order to further maximize its sensitivity and specificity. Thus, unlike previously developed algorithms, CoRSeq_{V3-C} is adaptable. CXCR4-using C-HIV Envs were first reported in patients on ART, and studies have suggested that ART provides a selective environment that may drive the emergence of CXCR4-using variants [53]. Thus, as ART become more widely available in C-HIV affected areas, adaptability may be an important quality for a C-HIV specific coreceptor specificity prediction algorithm.

Conclusions

In summary, this study has advanced the genotypic characterization of C-HIV coreceptor usage. We elucidated the specific V3 sequence alterations associated with CXCR4-usage of C-HIV, and utilized these findings to develop an improved C-HIV specific V3 based coreceptor usage prediction algorithm. By comparison to the alternative predictive rules and algorithms, using two data sets that together comprise every available C-HIV V3 sequence, we have determined that CoRSeq_{V3-C} is presently the most sensitive algorithm for predicting CXCR4 usage of C-HIV, with specificity that remains comparable to the best performing alternative algorithms. Not only does CoRSeq_{V3-C} have the potential to be a useful tool for assisting clinicians to decide the best treatment options for patients with C-HIV infection, this new algorithm builds capacity for future studies of C-HIV pathogenesis.

Methods

C-HIV V3 sequence data sets

For this study we combined all phenotypically characterized C-HIV V3 sequences currently available in the Los Alamos Database (as of December 2012; n=1056), which were derived from diverse geographical locations such as Africa, India, South America, Europe, Israel and China with sequences from a longitudinal C-HIV study that we recently conducted (n=300) (M.R. Jakobsen, K. Cashin and P.R. Gorry, unpublished data). V3 sequences from Envs that were determined to use CXCR4 in cell entry assays or cause syncytia in MT-2 cells were labeled "CXCR4-using" sequences

(n=143). V3 sequences from Envs that were determined to use CCR5 only in cell entry assays or did not induce syncytia in MT-2 cells were labeled "R5" sequences (n=1213).

Coreceptor usage prediction rules and algorithms

The *in silico* algorithms assessed in this study were Geno2pheno_[coreceptor] using a false-positive rate of 10% (<http://coreceptor.bioinf.mpi-inf.mpg.de/>), as well as the C-HIV and B-HIV specific WebPSSM algorithms (<http://indra.mullins.microbiol.washington.edu/webpssm/>). The 11/25 rule predicts CXCR4-usage based on the presence of Arg, Lys or His at position 11 and/or 25 within the V3 region of gp120 [25,38]. The 11/24/25 rule predicts CXCR4-usage based on the presence of Arg, Lys or His at position 11, 24 and/or 25 within the gp120 V3 sequence [22,25,39,40]. The 11/25/V3 charge rule [27] predicts CXCR4-usage based on three criteria; (i) Arg/Lys at position 11 and/or Lys at position 25, (ii) Arg at position 25 and a net charge of ≥ 5 , or (iii) a net charge of ≥ 6 . The Lin et al. rule [41] predicts CXCR4-usage based on the presence of an alteration within the V3 GPGQ crown motif and/or the presence of a two amino acid insertion immediately proximal to the V3 crown, at positions 13 and 14. V3 net charge was calculated by subtracting the number of negatively charge amino acids (Asp and Glu) from the number of positively charged amino acids (Lys, Arg and His). Sensitivities were calculated by dividing the total number of correctly predicted CXCR4-using sequences by the total number of phenotypically characterised CXCR4-using sequences, and multiplying this number by 100. Specificities were calculated by dividing the number of correctly predicted R5 sequences by the total number of phenotypically characterised R5 sequences, and multiplying this number by 100.

Sequence analyses

Sequence alignments were developed using CLC Main Workbench version 6.5. Sequence variability at each amino acid position was determined using the Los Alamos Database Entropy-One tool (<http://www.hiv.lanl.gov/content/sequence/ENTROPY/entropy.html>). Potential N-linked glycosylation sites were predicted using the Los Alamos Database N-Glycosite tool (<http://www.hiv.lanl.gov/content/sequence/GLYCOSITE/glycosite.html>).

Statistical analysis

P-values were calculated using either a Fisher's Exact test or a Mann Whitney U test. Values <0.05 were considered significant. All statistical tests were performed using Prism version 5.0 (GraphPad Software Inc., San Diego, CA).

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doi:10.1186/1742-4690-10-24

Cite this article as: Cashin *et al*: CoRSeq_{v3-c}: a novel HIV-1 subtype C specific V3 sequence based coreceptor usage prediction algorithm. *Retrovirology* 2013 **10**:24.

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